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6/28/02

PATENT

Case No. AUS920010731US1
(9000/66)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

JOHNNY R. BREZINA, BRIAN M. KERRIGAN,
GERALD D. MALAGRINO, JR., JAMES R. MOON

Serial No.

Filed:

Title: MULTIPLE ARRAY OPTOELECTRONIC
CONNECTOR WITH INTEGRATED LATCH

Examiner:

Group Art Unit:



INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

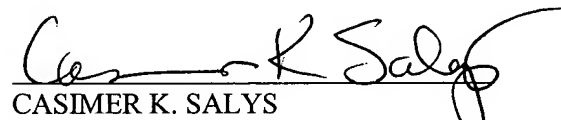
Pursuant to 37 C.F.R. §§ 1.56 and 1.97-1.98, Applicants would like to call the Examiner's attention to the references listed on the enclosed form PTO-1449. Copies of these references are also enclosed.

Applicants believe that none of the listed references, alone or in combination disclose or suggest Applicant's claimed invention.

Dated: 11/2/01

Respectfully submitted,

IBM CORPORATION
Intellectual Property Law Department
11400 Burnet Road - 4054
Austin, Texas 78758
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FORM PTO-1449	SERIAL NO.	CASE NO. AUS920010731US1 (9000/66)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE	GROUP ART UNIT
(use several sheets if necessary)	APPLICANT(S): JOHNNY R. BREZINA BRIAN M. KERRIGAN GERALD D. MALAGRINO, JR. JAMES R. MOON	

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NUMBER	FILING DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,729,644	03/17/98	SHIFLETT ET AL.		
	A2	5,329,604	07/12/94	BALDWIN ET AL.		
	A3	5,333,225	07/26/94	JACOBOWITZ ET AL.		
	A4	5,202,943	04/13/93	CARDEN ET AL.		
	A5	5,499,311	03/12/96	DECUSATIS		
	A6	5,337,388	08/09/94	JACOBWITZ ET AL.		
	A7	5,396,573	03/07/95	ECKER ET AL.		
	A8	4,198,119	04/15/80	UBERBACHER		
	A9	4,218,113	08/19/80	UBERBACHER		
	A10	4,373,778	02/15/83	ADHAM		
	A11	4,458,985	07/10/84	BALLIET ET AL.		
	A12	4,553,813	11/19/85	MCNAUGHTON ET AL.		
	A13	4,598,974	07/08/86	MUNN ET AL.		
	A14	4,934,778	06/19/90	GILLETT		
	A15	5,093,879	03/03/92	BREGMAN ET AL.		
	A16	5,315,679	05/24/94	BALDWIN ET AL.		

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
	A17	U.S. Patent Application entitled "EXTERNAL EMI SHIELD FOR MULTIPLE ARRAY OPTOELECTRONIC DEVICES", (Inventors Johnny R. Brezina, et al.
	A18	U.S. Patent Application entitled "INTERNAL EMI SHIELD FOR MULTIPLE ARRAY OPTOELECTRONIC DEVICES", (Inventors Johnny R. Brezina, et al.
	A19	U.S. Patent Application entitled "FIBER OPTIC TRANSCEIVER, CONNECTOR, AND METHOD OF DISSIPATING HEAT", (Inventors Johnny R. Brezina, et al.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.